

# Advanced Model Development and Validation of Damage-free Precast Structural Connections with Unbonded Post-Tensioned Prestress

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**ABSTRACT:** The use of jointed precast concrete and steel connections with unbonded, post-tensioned prestress has been the focus of a significant amount of recent research. These systems provide a controlled inelastic response through gap-opening at the beam-column interface instead of through yielding and damage of the structural elements. The development of a model that captures all of the associated characteristics and provides an accurate prediction of connection response provides significant added confidence in response simulations. A model is developed that utilises a time-incremental model of the connection behaviour that accounts for yielding of the prestress tendons, the reduction or elimination of the prestressing force, friction between the post-tensioning tendons and the containing ducts, and asymmetry from non-centrally located tendons. The model is formulated using incremental versions of the Menegotto-Pinto and Ramberg-Osgood type, providing a smooth, continuous loading and unloading approximation to the piecewise linear behaviour. The model is validated against experimental results for an 80% full-scale jointed precast concrete connection tested with inputs drifts to a maximum of 4%. Results show very good agreement between the model and the experimental results, with errors generally less than  $\pm 5\%$ . Overall, the model is generalisable to other connections using steel and concrete rocking connections that utilise this damage-free design approach and is a useful tool for evaluation of connection designs.

## 1 INTRODUCTION

Cast insitu reinforced concrete or monolithic precast concrete structures resist earthquake ground motions by dissipating energy in plastic hinge zones located at beam ends adjacent to the beam-column joint. But seismic response can lead to significant damage and degradation at such beam-column connections. The development of precast concrete systems with unbonded post-tensioned prestressed connections that provide dissipative non-linear response due to gap-opening, instead of through structural damage in a plastic hinge zone, has been the focus of recent research (Li et al. 2008; Priestley et al. 1999; Solberg 2007). Such connections utilising Damage Avoidance Design (DAD) principles (Mander 2004) typically have low inherent damping. Structural response for a jointed precast system is a combination of elastic deflection and rigid body rotation. This study investigates the independent effects of elastic sub-assembly deformation and post-gap opening rigid-body rotation of the structural elements. Previous research has developed simple yet effective models for this type of jointed precast connection which provide good agreement with experimental results (Li et al. 2008).

Although the previous research of Li et al.(2008) provided a simple explicit model describing the overall pushover behaviour, their model does not include several aspects of connection performance. While the model incorporates yielding of the tendons, it does not incorporate the prestress force reduction on subsequent cycles. The previous model provides upper and lower bounds to represent the force contributions due to friction. However, the unloading is based on a signum function and acts as a simple switch which does not capture the initial unloading stiffness due to tendon relaxation. The previous model will likely provide accurate results for jointed precast prestressed connections that

utilise straight tendon profiles, and have low inherent friction, if no tendon yield is observed. However, if any notable friction is present or if tendon yield occurs, the model will not provide accurate results. Therefore, the modelling presented herein extends this earlier work to incorporate friction in the prestress system, and changes to subsequent cycles from tendon yield. The model moves to a time-incremental form with different loading/unloading stiffness to capture friction and yielding. The time incremental form is utilised to enable a tangent stiffness to be used throughout the modelling. This differential approach and the use of the tangent stiffness is needed as the hysteretic response is path-dependent and cannot be calculated in an absolute sense – ie: the force response is not simply a function of the current displacement, but also the displacement history. The use of differential versions of the Menegotto-Pinto and Ramberg-Osgood functions is simply to provide a smooth transition between each segment of the piecewise linear response, and better match the experimental results.

## 2 EXPERIMENTAL INVESTIGATION

The model proposed herein is validated against experimental results using a full-scale beam-column subassembly. The 3D subassembly represents an interior joint of a ten-storey reinforced concrete building (Rodgers et al. 2008). The subassembly consisted of a seismic beam cut at its midpoint and an orthogonal gravity beam. All beams were 560mm deep and 400mm wide, framing into a 700mm square column. The orthogonal beam is referred to as the gravity beam, and was designed for one-way precast flooring panels. The other beam is referred to as the seismic beam, designed predominantly for seismic forces. This study investigates the contributions of unbounded post-tensioning to seismic response based on uni-directional testing of the seismic beams. The beam prestress system consisted of two concentric 26.5mm diameter unbonded and post-tensioned prestressing thread-bars. Photographs, design details can be found elsewhere in Solberg (2007) and Rodgers (2009).

## 3 MODELLING CONNECTION BEHAVIOUR

Overall joint hysteresis for un-bonded post-tensioned concrete DAD connections is a combination of elastic member deflection and rigid body rotation. The presence of the un-bonded post-tensioning initially delays gap opening. Lateral column deflections in this regime are a function of the elastic deformation of structural elements only, until the applied connection moment leads to gap opening. This resisting moment is provided by the clamping effect of the prestress within the beam-column interface. The column shear at gap opening is thus a function of the level of prestress provided by the beam tendons. The column shear and displacements associated with gap-opening deflection can be calculated using beam bending theory and rigid body kinematics. The post gap-opening stiffness remains until the tendon elongation associated with the rigid body component reaches tendon yield. Further column deflection occurs with no further increase in column shear. Any inelastic tendon elongation reduces the post-tensioning force on unloading and subsequent cycles.

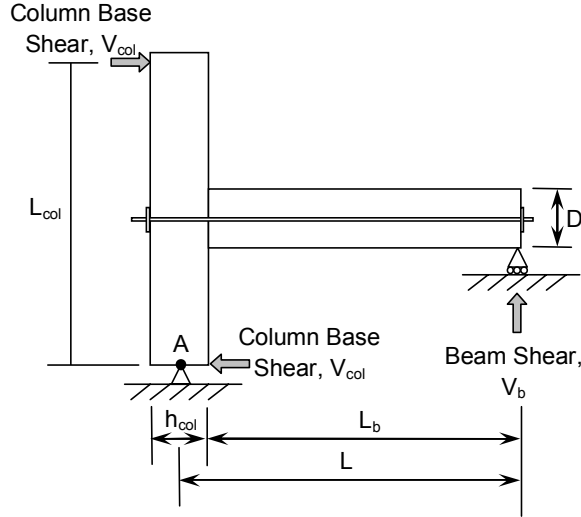
### 3.1 Modelling Initial Elastic Loading Behaviour

Under initial elastic loading the beam and column deflect without gap-opening and contribute to total subassembly displacement. Figure 1 present a schematic diagram of the subassembly showing the associated nomenclature.

During the initial elastic deformation regime the beam and column elastically deflects. The lateral deflection at the top of the column due to both beam and column deflection is defined as  $\Delta_{col}$  and can be defined in relation to the applied column shear,  $V_{col}$ , as:

$$\Delta_{col} = V_{col} \left[ \frac{(L_{col} - D)^3}{12EI_{col}^*} + \frac{L_{col}^2 L_b^2}{3LEI_b^*} \right] \quad (1)$$

where  $EI_{col}^*$  and  $EI_b^*$  are the effective column and beam stiffness values. The effective stiffness values have been approximated using moment area methods as 26% of the gross stiffness for uni-directional testing and 14% for bi-directional testing (Li et al. 2008).

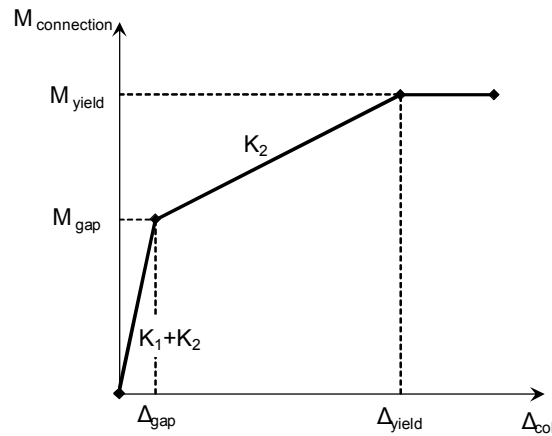


**Figure 1.** Beam-column subassembly nomenclature

### 3.2 Modelling of Rigid Body Loading Behaviour

Following the elastic deformation regime, the subassembly will undergo rigid-body rotation after gap-opening. This rigid body regime requires additions to the model for the post gap-opening regime and associated mechanics. The combination of pre gap-opening elastic beam deformation, post gap-opening deformation with elastic tendon elongation, and post gap-opening deformation with inelastic tendon elongation creates an overall tri-linear response. This overall loading path can be calculated based on elastic member deflection and rigid body rotation. Figure 2 presents the tri-linear elasto-plastic backbone curve for monotonic (pushover) behaviour of the subassembly. It includes the pre-gap-opening elastic deflection, and post-gap-opening behaviour, but does not include the effects of friction. Initial elastic deformation of the subassembly prior to gap-opening has stiffness  $(K_1+K_2)$ . Further elastic beam deflection and rigid body rotation after gap-opening gives stiffness  $K_2$ . Finally, the unbonded post-tensioned tendons yield.

In Figure 2,  $M_{gap}$  is defined as the connection moment at gap opening. Here  $\Delta_{gap}$  is the displacement at the top of the column from elastic deformation of the subassembly at gap opening. Further,  $\Delta_{yield}$  is the displacement of the subassembly from beam deflection at the onset of plastic deformation of the post-tensioned tendons, which occurs at a connection moment of  $M_{yield}$ . All of the points shown in Figure 2 can be easily calculated from statics and kinematics, using the subassembly measurements in Figure 1.



**Figure 2.** Bilinear-elastic-plastic monotonic backbone curve of a jointed precast system, where the connection moment is defined  $M = V_{col}L_{col}$

The moment produced at the beam-column interface (rocking connection) at gap-opening,  $M_{gap}$ , under positive and negative rotations is respectively defined:

$$M_{gap} = F_{PT\_initial} (j_{PT}^{\pm}) D \quad (2)$$

where  $F_{PT\_initial}$  is the total initial tensioning force in the tendons,  $j_{PT}$  is the fractional lever-arm of the tendon, the + and – indices refer to rocking about the bottom and top corners of the beam end, respectively, and  $D$  is the beam depth. Note that  $j^+$  and  $j^-$  are proportional scalars of the beam depth with  $j^+ + j^- = 1$ . In Equation (2) it is assumed that the beam will rock about the top or bottom edge of the beam. While this assumption is valid for steel armoured rocking connections, such as that presented here, it cannot be generalised (in its current form) to other connections that do not use steel armouring. Any non-armoured connection will likely not rotate about the beam edge due to large localised deflections at the beam edge, and a neutral axis depth will have to be calculated, and Equation (2) modified to accommodate the different lever arm length.

The displacement at the top of the column due to beam deflection at gap-opening,  $\Delta_{gap}$ , is defined from the value of connection moment at gap-opening  $M_{gap}$  in Equation (2). Equation (1) can be re-written at gap opening using  $V_{col,gap} = M_{gap}/L_{col}$ :

$$\Delta_{gap} = \frac{M_{gap}}{L_{col}} \left[ \frac{(L_{col} - D)^3}{12EI_{col}^*} + \frac{L_{col}^2 L_b^2}{3LEI_b^*} \right] \quad (3)$$

Moreover, the initial elastic stiffness of the subassembly,  $(K_1 + K_2)$ , as presented in Figure 2, can be calculated using Equations (2) and (3) and is defined:

$$K_1 + K_2 = \frac{L_{col}}{\left[ \frac{(L_{col} - D)^3}{12EI_{col}^*} + \frac{L_{col}^2 L_b^2}{3LEI_b^*} \right]} \quad (4)$$

The connection moment and displacement at tendon yield can be similarly calculated, but occur at the point that plastic strain is induced in the tendons. This moment,  $M_{yield}$ , is given:

$$M_{yield} = \sigma_{yield} A_{PT} (j_{PT}^{\pm}) D = F_{PT\_yield} (j_{PT}^{\pm}) D \quad (5)$$

where  $\sigma_{yield}$  is the yield stress of the tendons,  $A_{PT}$  is the total cross-sectional area of the tendons, and  $F_{PT\_yield}$  is the total force in the tendons at yield.

Finally, the displacement at the top of the column at tendon yield,  $\Delta_{yield}$ , can be defined as a sum of elastic and rigid body deflection components:

$$\Delta_{yield} = \frac{M_{yield}}{L_{col}} \left[ \frac{(L_{col} - D)^3}{12EI_{col}^*} + \frac{L_{col}^2 L_b^2}{3LEI_b^*} \right] + L_{col} \frac{(\epsilon_{yield} - \epsilon_{initial}) L_t}{\eta (j_{PT}^{\pm}) D} \frac{L_b}{L} \quad (6)$$

where  $\epsilon_{yield}$  is the total tendon strain at the onset of plastic deformation,  $\epsilon_{initial}$  is the initial strain in the tendons from post-tensioning alone before gap opening,  $L_t$  is the total length of the unbonded tendon, and  $\eta$  is the number of rocking interfaces spanned by the tendons.

Finally, the value of the post gap-opening stiffness,  $K_2$  can easily be calculated from the geometry in Figure 2, and Equations (2), (3), (5), and (6). The stiffness is defined:

$$K_2 = \frac{(j_{PT}^{\pm})D(F_{PT,yield} - F_{PT,initial})}{L_{col} \frac{(\epsilon_{yield} - \epsilon_{initial})L_t}{\eta(j_{PT}^{\pm})D} \frac{L_b}{L} + \frac{(j_{PT}^{\pm})D(F_{PT,yield} - F_{PT,initial})}{L_{col}} \left[ \frac{(L_{col} - D)^3}{12EI_{col}^*} + \frac{L_{col}^2 L_b^2}{3LEI_b^*} \right]} \quad (7)$$

The denominator of Equation (7) can be segregated into a rigid body component (the first term) and post gap-opening elastic deflection component (the second term). If the elastic stiffness is much higher than the post gap-opening stiffness, then the rigid body component will have the major influence.

### 3.3 Inclusion of Prestress Friction Effects

If the connection utilizes a straight tendon profile and the duct is of a notably larger diameter than the tendon, it is unlikely any significant friction will exist. However, if a draped or bent tendon profile is utilized, as is commonly done for beams carrying gravity loads and for the seismic beams within this study, then frictional effects will affect cyclic loading performance.

Using the formula for prestress loss effects presented in Li et al. (2008) and assuming the product ( $\mu_f \alpha_{PS}$ ) is small so that the higher order terms in the expanded exponential expression can be neglected, the prestress losses due to frictional effects,  $\delta F$ , is defined:

$$\delta F = F_{PT1} - F_{PT2} = \mu_f \alpha_{PS} F_{PT1} \quad (8)$$

where  $\mu_f$  = angular coefficient of friction;  $\alpha_{PS}$  = the angle change of the tendon (in radians);  $F_{PT2}$  = the prestress force at the joint face; and  $F_{PT1}$  = the applied jacking force to the prestress system. Note that this equation is an approximation of the force differential that exists within the tendon due to friction between the tendon and the duct it is located in.

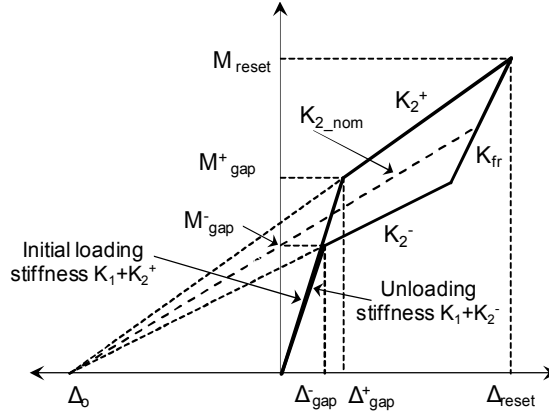
Figure 3 presents the effect of friction on the monotonic pushover behaviour. The gap-opening resistance increases. Under cyclic loading, the stiffness changes due to frictional effects. By considering the mechanics of the connection, it is evident that friction within the tendon-duct system will affect the tendon force across the beam-column interface. A force differential will be present in the tendon where it contacts the duct. Upon reversal the tendon will relax before a force differential of an opposite sign exists. The elastic relaxation in the tendon during direction changes results in a stiffness,  $K_{fr}$ , shown in Figure 3, rather than a vertical force discontinuity, as predicted by using a signum function on the velocity to define the friction force (Li et al. 2008).

The elastic components are now defined as  $(K_1 + K_2^+)$  for loading, and  $(K_1 + K_2^-)$  for unloading, with the post-gap opening stiffness defined:

$$K_2^+ = K_{2\_nom} (1 + \mu_f \alpha_{PS}) \quad (9a)$$

$$K_2^- = K_{2\_nom} (1 - \mu_f \alpha_{PS}) \quad (9b)$$

where  $K_{2\_nom}$  is the nominal post-gap opening stiffness,  $K_2$ , without friction modification, defined in Equation (7), and shown in Figure 2. The intersection of the different  $K_2$  lines,  $\phi_o$ , is the effective origin for the post-gap opening regime. With no initial post-tensioning, the initial elastic loading branch would not exist, and  $\phi_o$  would move to the axes origin, such that  $\phi_o = 0$ .



**Figure 3.** Schematic representation of the loading regime with the addition of friction. The dashed line labelled  $K_{2\_nom}$  represents the post-gap opening stiffness without friction.

### 3.4 Loading Stiffness Definition

To computationally model this piece-wise linear behaviour in a smooth continuous sense, differential versions of the Menegotto-Pinto (1973) and Ramberg-Osgood(1943) equations are used. To capture all of the different regimes in the response of Figure 3, it is necessary to develop different stiffnesses for the loading and unloading behaviour. The overall loading stiffness,  $K^+(\Delta)$ , has three different regions, as shown in Figure 3, and is defined:

$$K^+(\Delta) = K_1^{*+}(\Delta) + K_2^{*+}(\Delta) \quad (10)$$

where  $K_1^{*+}(\Delta)$  is defined using a differential version of the Menegotto-Pinto equation:

$$K_1^{*+}(\Delta) = \frac{K_1}{\left\{ 1 + \left| \frac{M_{reset} + (K_1 + K_2^+)(\Delta - \Delta_{reset})}{K_2^+(\Delta - \Delta_o)} \right|^{R_p} \right\}^{(1/R_p+1)}} \quad (11)$$

where  $M_{reset}$  = the connection moment at the beginning of loading, and  $\Delta_{reset}$  = the input displacement at the beginning of loading. The rate of change of the denominator at the transition is defined by the value of  $R_p$ , with large values ( $R_p \gg 10$ ), giving a very sharp transition, and lower values ( $R_p \approx 2-5$ ), giving a more gradual transition and a more rounded response.

The stiffness component  $K_2^{*+}(\Delta)$  should be active when the connection moment is less than that which corresponds to tendon yield and is defined:

$$K_2^{*+}(\Delta) = \frac{K_2^+}{1 + (R_y - 1) \left| \frac{M_{i-1}}{M_{yield}} \right|^{(R_y-1)}} \quad (12)$$

where the exponent,  $R_y$ , defines the rate of change of the gradient transition at the yield point, using a Ramberg-Osgood type of formulation. For large values of  $R_y$ , the transition is very sharp, and lower values give a more gradual transition.

### 3.5 Unloading Stiffness Definition

To capture the different regimes in the unloading response it is necessary to develop a stiffness

definition for the unloading behaviour similar to that used for loading. Similar to the loading path, the overall unloading stiffness,  $K^-$ , has three regions, as shown in Figure 3. The stiffness as a function of input displacement is defined as  $K^-$ . A line for the friction slope,  $K_{fr}$ , is defined relative to the reset point, similar to that utilised for loading in Equation (11).

From these observations, the unloading stiffness,  $K^{*-}$ , is defined:

$$K^-(\Delta) = K_1^{*-}(\Delta) + K_{fr} + K_2^{*-}(\Delta) \quad (13)$$

where  $K_{fr}$  is not dependent on response parameters, and  $K_1^{*-}(\Delta)$  and  $K_2^{*-}(\Delta)$  are defined:

$$K_1^{*-}(\Delta) = \frac{K_1}{\left\{ 1 + \left| \frac{K_1 \Delta}{-K_2^- \Delta_o} \right|^{R_p} \right\}^{(1/R_p+1)}} \quad (14)$$

$$K_2^{*-}(\Delta) = \frac{(K_2^- - K_{fr})}{\left\{ 1 + \left| \frac{\langle M_{reset} + K_{fr}(\Delta - \Delta_{reset}) \rangle}{K_2^-(\Delta - \Delta_o)} \right|^{R_{fr}} \right\}^{(1/R_{fr}+1)}} \quad (15)$$

where the McCauley's brackets term, indicated by  $\langle \rangle$  is defined as  $\langle A \rangle = A$  if  $A > 0$ , and  $\langle A \rangle = 0$  if  $A \leq 0$  for any value of input  $A$ . Thus, they are similar to the well-known Heaviside function. A detailed derivation of Equations (13)-(15) can be found in Rodgers (2009).

The initial connection moment at gap opening,  $M_{gap}$  can be calculated using Equation (2). From this value the origin of the  $K_2^+$  and  $K_2^-$  lines,  $\Delta_o$  (as defined in Figure 3) can be calculated from the geometry as:

$$\Delta_o = \frac{-K_1}{K_{2\_nom}(K_1 + K_{2\_nom})} M_{gap} \quad (16)$$

where  $K_2$  = the nominal post gap-opening stiffness without modification for friction.

Although the model currently accounts for yielding within a response cycle due to the tri-linear behaviour, it must also account for the prestress force reduction on unloading and on subsequent cycles. Inelastic tendon elongation will result in a decrease in prestress force and alter the behaviour on unloading and subsequent cycles. This reduction represents a shift in the location of the origin of the  $K_2^+$  and  $K_2^-$  lines,  $\Delta_o$ . The location of  $\Delta_o$  changes by the amount of inelastic tendon elongation, and relocates to  $\Delta_{o,new}$ . The value of  $\Delta_{o,new}$  is defined:

$$\Delta_{o,new} = \Delta_{reset} - \frac{M_{reset}}{K_2^+} \quad (17)$$

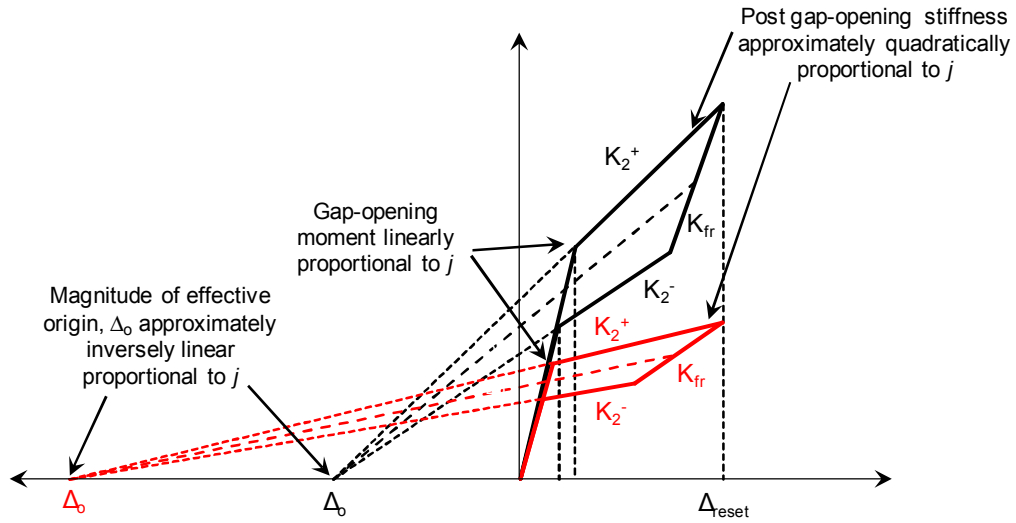
Equation (17) must only be implemented on a reset (when loading direction changes) and only when tendon yield has occurred (when  $\Delta_{reset} > (\Delta_o + M_{yield} / K_2^+)$ ).

#### 4 OVERALL CONNECTION MODELLING

The approach presented has been formulated as a subassembly model where the connection moment and displacement are always positive. The subassembly model can be considered to be formulated to give the connection moment for a normalized value of lever-arm,  $jD$ , where the fractional lever-arm,  $j = 1.0$ . The beam model will always yield positive moments, but the inclusion of the directionally

dependent multiplier  $j$  corrects for the sign, providing the overall connection behaviour for both positive and negative connection displacements.

The connection moment at gap opening is linearly proportional to the magnitude of  $j$ . However, post-gap opening stiffness is proportional to the square of the fractional lever-arm,  $j$ . This relationship is explained by considering the underlying kinematics. Halving the magnitude of fractional lever-arm,  $j$ , will induce half the displacement in the tendon, and thus half the increase in tendon force. Furthermore, this force increase will only contribute half of the moment to the connection, due to the smaller lever arm, together providing the quadratic relationship. Figure 4 presents the schematic response for two values of  $j$ . The linear relationship for gap-opening connection moment, and quadratic relationship for post gap-opening stiffness results in the location of  $\Delta_o$  being inversely proportional to magnitude of  $j$ .



**Figure 4.** Representation of the dependence of  $\Delta_o$  on the fractional lever-arm,  $j$ .

Under cyclic loading the model must incorporate connection behaviour for positive and negative rotations with non-centrally located prestressing tendons ( $j^+ \neq j^-$ ). Under these conditions, the model parameters,  $M_{gap}$ ,  $M_{yield}$ ,  $K_2$  and  $\Delta_o$  are all directionally dependent, as they are all a function of the fractional lever-arm,  $j$ , as defined in Equations (2), (5), (7), and (15), respectively. To accommodate this directional dependence, the values need to be switched based on the direction of loading, and the associated value of either  $j_{PT}^+$  or  $j_{PT}^-$ . However, all other model equations hold given this switching to account for the directional response behaviour.

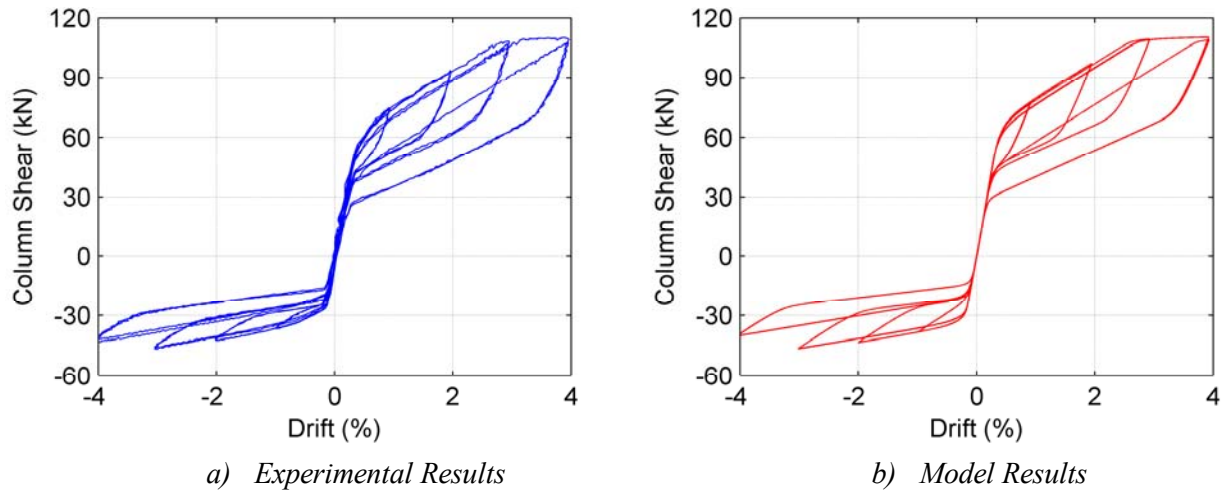
This switching can be implemented a number of ways, including: 1) using conditional statements, or 2) incorporating a switching function using Heaviside, sigmoid or hyperbolic tangents functions. The implementation is straightforward computationally and only requires that the values be assigned at any change in sign of the input displacement. The directional dependence of  $\Delta_o$  can be incorporated into the model using Equations (2) and (16).

#### 4.1 Experimental Validation

The experimental corner joint configuration presented in Li et al. (2008) and Rodgers (2009) utilising a bent tendon profile was chosen for experimental validation. This configuration presents very complex overall hysteretic response that captures almost all of the considerations presented in the model development. The experimental specimen had basic dimensions, defined in Figure 1, of  $L_{col} = 2.9\text{m}$ ,  $h_{col} = 0.7\text{m}$ ,  $L = 4\text{m}$ ,  $L_b = 3.65\text{m}$ , and  $D = 0.56\text{m}$ . In the experiment, for positive joint rotations,  $j_{PT}^+ = 0.66$ , and  $D = 560\text{mm}$  so that  $(jD)_{PT}^+ = 370\text{mm}$ , and for negative joint rotations,  $j_{PT}^- = 0.34$  so that  $(jD)_{PT}^- = 190\text{mm}$ .

## 5 RESULTS AND DISCUSSION

The test specimen underwent quasi-static uni-directional displacement tests in the seismic direction using fully reversed sine wave profiles up to 4% inter-storey drift. The experimental data for the exterior connection was utilized as it is the most difficult case to model due to the asymmetry in gap opening force, friction, and yield displacement. Therefore, the exterior connection is the most stringent test of the model, and once validated can be extended to also model the symmetrical joints if desired. Figure 5 presents the experimental and model results for the subassembly. Overall, very good agreement is evident between the computational model and the experimental results. The subassembly shows very good agreement, and captures the tendon yield, friction, and loss of prestress following large response cycles causing tendon yield.



**Figure 5.** Comparative results

## 6 CONCLUSIONS

The advanced model of joint hysteresis using time-incremental, smooth differential functions of the Menegotto-Pinto and Ramberg-Osgood type show very good agreement with the experimental results. The ability to accurately predict the entire hysteretic response of the connection at any drift level is an important outcome for analysis purposes. Overall, this model is more complex and harder to implement than simpler explicit forms in previous research, but provides a much more robust description. If significant friction or tendon yield is present then the simpler explicit models will not provide accurate results. Friction, yielding and prestress reduction are all modeled explicitly; it is considered that this leads to the good overall agreement with the experimental observations. Finally it should be noted that the model presented within this paper is specific to steel armoured connections that rock about the outer beam edges, but can easily be modified for use with non-armoured connections.

## ACKNOWLEDGEMENTS

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